

Warren E. Dorsey, Jr.

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Professional Profile	<p>Senior General Management Professional with expertise in identifying and defining business problems, and developing solutions through creative reengineering. Experience spans Financial Services Industry as a Consultant, Staff and Line Manager for Big 4, Investment and Commercial Banks as well as Reinsurance. Creative problem solver, a team player with strong interpersonal skills. Strong bottom-line orientation.</p> <ul style="list-style-type: none">▪ Financial Control▪ Operations Risk Management▪ Project Management▪ Cost Allocation▪ Financial Reporting▪ Budgeting▪ Process Reengineering▪ Account Management
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Professional Accomplishments

- Project Management / Account Management
- Sold \$1 million engagement to NYMEX
 - Sold and Managed Consulting Engagements in excess of \$5 million
 - Led consulting engagements in general business, systems and operations strategy, and financial management for investment and commercial banks. Evaluated and conducted credit risk management and internal controls reviews.
 - Led teams that reviewed strategic business and marketing plans for regional brokerage units. This study led to other engagements including business process reengineering and workflow optimization.
 - Assessed compliance with regulatory and operational procedures for several firms and assisted government enforcement agencies' (US Attorney and Securities and Exchange Commission) investigations of securities fraud.
 - Evaluated credit risk of commercial loan portfolios. Designed and implemented risk management tools and analyses
 - Developed and conducted internal controls reviews of mortgage banking companies for a major securities firm.
 - Reviewed and recommended processes for monitoring market making compliance activities on the over-the-counter desk for a New York brokerage house.
 - Performed evaluations of fund accounting packages for a start-up hedge fund company.
 - Jointly developed a new compensation structure for a New York soft dollar brokerage house.
 - Managed Project Team charged with consolidating all Expense Accounting functions in 26 European countries and assumed management of 45 people in four European countries.
 - Successfully executed the transition of Accounts Payable, Fixed Assets, Capital Projects and Expense General Ledger for Ireland, the United Kingdom, Belgium and Germany into the Global Shared Service Center located in Tampa, Florida. This included the management of Project

Teams, local staff, the migration of historical information, data entry, Country-wide training, Policy & Standards, Y2K certification, the creation of systemic feeds to local general ledgers and disbursement systems as well as the creation of regulatory and business reporting.

- Managed a team that supported the development of a global initiative to consolidate the Expense Accounting functions across the five major businesses and support areas in 100 countries. Responsible for all Project activities including Staffing and Financial Projections, Project Plan development, review and coordination for all implementations in Europe and Latin America, Technical Support of all implementations, data standards, requirements definition, testing, communications, quality, projected global saves and financial tracking.

Financial Control

- Responsible for monitoring, controlling and reporting expenses for Global IT in the Americas, \$155 million, including cost allocation to the business units
- Developed and implemented an automated expense and capital budgeting system that included predictive resource usage based on prior usage and capacity increases
- Implemented a chargeback system to give business units total transparency of IT costs
- Revamped managerial financial reporting of IT expenses for senior executives
- Managed and streamlined IT procurement and leasing activities
- Implemented global standard processes for ORM and Governance in the Americas

New Business Startup

- Developed and managed Financial and Operational processes and vendors for a start-up Equity Market Neutral Hedge Fund.

Promotion

- Promoted to Senior Vice President at Swiss Re America Holding Corporation
- Promoted to 2nd level of Vice President at Citibank

Professional Development

- Selected to Swiss Re Leadership Development Program

Property & Casualty Insurance

- Commercial & Personal Lines Producer License

Work History

March 1, 2011

Managing Director, Dorsey Smith Thomas LLC, Jersey City, NJ

December 2007 – March 2011

CFO / COO, Qubit Investments, LLC, Philadelphia, PA

August 2002 – July 2007

Senior Vice President, Swiss Re America Holding Corporation, Armonk, NY

July 2000 – August 2002

Account Manager, Fry, New York, NY

April 1995 – May 2000

Vice President, Citibank, Long Island City, NY

April 1994 – April 1995

Financial Services Consulting Manager, Richard Eisner, New York, NY

March 1990 – April 1994

Senior Consultant, KPMG Peat Marwick, New York, NY

May 1986 – March 1990

Associate, The First Boston Corporation, New York, NY

Education

Master of Science Industrial Administration (MBA)

Carnegie Mellon University

Pittsburgh, PA

May 12, 1986

Bachelor of Science Chemical Engineering

Carnegie Mellon University

Pittsburgh, PA

May 16, 1983

References

[References are available upon request.]